APPLICATION FOR UNITED STATES LETTERS PATENT

FOR

**Scalable and Extensible
Secure Rendering of Digital Content**

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Scalable and Extensible Secure Rendering of Digital Content

BACKGROUND OF THE INVENTION

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1.   Field of the Invention

The present invention relates to the field of data processing. More specifically, the present invention relates to the secure rendering of digital content.

10   ### 2.   Background Information

With advances in integrated circuit and microprocessor, processor based computing devices are increasingly more powerful in terms of their processing capabilities. Processing power that was once available only in the most expensive mainframe systems are now available in even many entry level hand held consumer

15   devices. As a result, increasingly, processing intensive rich contents of a wide variety of media types, including but are not limited to audio, video, graphic, and/or textual contents, are being made available and consumed on even the most basic ones of these processor based computing devices.

Concurrently, advances in networking and communication technologies have

20   resulted in increasing number of these processor based computing devices being networked together. Such devices are often first coupled with a local area network, such as an Ethernet-based office/home network. In turn, the local area networks are interconnected together through wide area networks. Of particular importance is the global inter-network, the Internet. As a result of this trend of increased

25   connectivity, an increasing amount of these rich multi-media contents are made available or distributed online.

One factor that continues to hinder the adoption of the digital format for rich multi-media contents (as opposed to the conventional analog format), and online distribution, is the relative ease of misappropriating these multi-media contents embodied in digital format (hereinafter, simply "digital content"). One characteristic

5   that makes the misappropriation of digital contents particularly problematic is the fact that, unlike their analog brethrens, each successively misappropriated digital content remains as good in quality as the original.

A number of ciphering and deciphering techniques, including tamper resistant techniques, to protect the making and distribution of digital contents have been

10   developed and known in the art. The term "tamper resistant" as used in this application refers to a broad range of techniques and/or measures employed to thwart and/or make difficult unauthorized meddling, interfering or other acts of like kind. However, notwithstanding the general increasing availability of processing power, many of these prior art techniques are found be insignificantly burdensome,

15   especially if multiple media types of multiple content formats are to be supported in a secure manner, such as in the entry level computing environment.

Thus, a less burdensome, but sufficiently robust and flexible approach to securely render digital contents of multiple media types, and of multiple formats is desired. The term "rendering" refers to the physical manifesting of contents for use

20   and/or enjoyment by a user/consumer, including but are not limited to visually and/or audibly manifesting the contents.

BRIEF DESCRIPTION OF DRAWINGS

The present invention will be described by way of exemplary embodiments, but not limitations, illustrated in the accompanying drawings in which like references

5   denote similar elements, and in which:

Figure 1 illustrates an overview of the present invention, in accordance with one embodiment;

Figure 2 illustrates an example collection of digital content rendering modules organized into an hierarchy;

10   **Figure 3** illustrates the method of the present invention, in accordance with one embodiment;

Figures 4a-4b illustrate the operational flow of the relevant aspects of a root module of a module hierarchy, in accordance with one embodiment;

Figures 5a-5b illustrate the operational flow of the relevant aspects of a non-

15   root module of a module hierarchy, in accordance with one embodiment;

Figure 6 illustrates the operational flow of the relevant aspects of the tamper resistant module of **Fig. 1**, in accordance with one embodiment; and

Figure 7 illustrates another example hierarchy including modules of different application domains, in accordance with another aspect of the present invention;

20   and

Figure 8 illustrates an internal component view of a digital system suitable for use to practice the present invention, in accordance with one embodiment.

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DETAILED DESCRIPTION OF THE INVENTION

The present invention includes organization and cooperation between a collection of digital content rendering modules to collectively protect the digital

5   contents being rendered. In the description to follow, various aspects of the present invention will be described, and specific configurations will be set forth. However, the present invention may be practiced with only some or all aspects, and/or without some of these specific details. In other instances, well-known features are omitted or simplified in order not to obscure the present invention.

10   The description will be presented in terms of operations performed by a processor based device, using terms such as digital contents, module hierarchy, requesting, verifying, transferring, and the like, consistent with the manner commonly employed by those skilled in the art to convey the substance of their work to others skilled in the art. As well understood by those skilled in the art, the quantities take the

15   form of electrical, magnetic, or optical signals capable of being stored, transferred, combined, and otherwise manipulated through mechanical, electrical and/or optical components of the processor based device.

Moreover, the term "processor" includes microprocessors, micro-controllers, digital signal processors, and the like, that are standalone, adjunct or embedded.

20   Further, the term "processor based computing devices" (hereinafter, simply computing device) includes but are not limited to wireless mobile phones, palm sized personal digital assistants, notebook computers, desktop computers, set-top boxes, game consoles, servers, and so forth.

Various operations will be described as multiple discrete steps in turn, in a

25   manner that is most helpful in understanding the present invention, however, the order of description should not be construed as to imply that these operations are

necessarily order dependent. In particular, these operations need not be performed in the order of presentation.

The description repeatedly uses the phrase "in one embodiment", which ordinarily does not refer to the same embodiment, although it may. The terms
5 "comprising", "including", "having", and the like, as used in the present application, are synonymous.

Overview

Referring now to **Figure 1**, wherein a block diagram illustrating an overview of
10 the present invention, in accordance with one embodiment, is shown. As illustrated, for the embodiment, a number of digital content rendering modules **102** are equipped such that selective subsets of modules **102** may be employed to cooperatively render digital contents of different media, and of different format types. Modules **102** may be advantageously organized into a hierarchy, with a selected one occupying a root
15 position of the hierarchy, to exclusively receive the digital contents to be rendered, and that each "non-leaf" module **102** of the hierarchy may be further responsible for verifying the integrity of its immediate downstream modules, to collectively protect the digital contents being rendered. In particular, each "non-leaf" module **102** of the hierarchy may verify to its own satisfaction that the integrity of an immediate
20 downstream module has not been compromised (i.e. having been tampered, modified or otherwise interfered with), before transferring the digital content to the downstream module. Additionally, in accordance with another aspect of the present invention, a tamper resistant module **104** (also referred to as the recovery module) may be employed to recover digital contents provided in a protected state, obfuscating the
25 recovery. The term "protected state" refers to the fact that one or more techniques and/or measures, such as ciphering, have been provided and/or applied to the digital

content to guard against or make difficult the misappropriation or misusing the contents.

As a result, modules **102** may be provided and operated in plaintext (i.e. in an unprotected state). Moreover, numerous modules **102** equipped for use in selected

5 combinations in support of numerous media types, of numerous formats, may be provided, enriching the multi-media capability of computing environment **100**, but without over burdening nor potentially exposing the environment to abuse, and content misappropriation.

The term "recovery", as used in this application, refers to the process of

10 transforming content from a protected state to an unprotected state (also referred to as the "plaintext" state). The phrase "obfuscating the recovery", as used in this application, refers to the employment of techniques and/or measures to disguise, obscure or otherwise make difficult for a third party to observe, discern or learn the operations performed to recover the protected contents.

15 Further, the terms "hierarchy" or "hierarchical", as used in this application, refer to the multi-layer or multi-generation characteristic of the logical relationship between the modules. For ease of understanding the present invention, the module occupying the top most layer of the hierarchy is referred to as the "root" module, whereas the other modules are referred to as the "non-root" module.

20 Computing environment **102** represents a broad range of execution environments known in the art, including but are not limited to computing environments of the earlier mentioned computing devices, i.e. wireless mobile phones, palm sized personal digital assistants, notebook computers, desktop computers, set-top boxes, game consoles, and servers.

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Example Hierarchy

Fig. 2 illustrates an example collection of plaintext digital content rendering modules **102'** organized into a hierarchy having the earlier described digital content

5   rendering security attributes. The example collection of modules **102'** comprises a plaintext base control module **202**, a number of plaintext video device related modules **204** and **210**, a number of plaintext audio device related modules **208** and **216**, and a number of plaintext rendering, audio and video services related modules **206** and **212-214**. Plaintext modules **202-216** as described earlier are organized into

10   an hierarchy, with one of the plaintext modules, module **202** for the example collection, occupying a root position of the hierarchy, to exclusively receive all digital contents to be rendered, regardless of media types or formats, i.e. regardless of which combinations of the modules are to be employed to render the digital contents. Further, beside their primary functions, i.e. for interacting with particular video or

15   audio devices, or providing particular video or audio services related to particular media types or formats, all the non-leaf plaintext modules, i.e. modules **202-208**, are equipped to verify the integrity of the immediate downstream plaintext modules. That is, the immediate downstream plaintext modules have not been compromised.

Audio and video services may include any number of such services for various

20   audio and video formats known in the art. These audio and video formats may include e.g. MP3, Wave, AVI (Audio Video Interleave), WMA, Real Audio, Real Video, QUICKTIME® and so forth. Rendering may include various audio and/or video synchronization performed for streaming media. The synchronizations may be specified using languages such as the Synchronization Markup Integration Language

25   (SMIL). The various media types may be any media known in the art, including but not limited to film, broadcasting, music, and so forth.

For the purpose of this application, downstream refers to the operational direction, where processing progresses from a root module of the hierarchy, such as module **202**, towards a "leaf" module, such as modules **210-216**. Employment of the label "downstream" in and itself has no significance. It is merely to assist in the

5   understanding of the present invention.

Method

Fig. 3 illustrates an overview of the method of the present invention, in accordance with one embodiment. As illustrated, for the embodiment, at initialization,

10 each module **102** verifies its immediate downstream module or modules, if applicable, block **302**. Initialization refers to a start up period, which may occur under a variety of conditions, including but are not limited to the power on or reset period of the host environment. In one embodiment, each module **102** may verify its immediate downstream module or modules by verifying the downstream modules' signatures.

15 Verification of the downstream modules' signatures may be performed in accordance with any one of a number of techniques known in the art. The term "signature" refers to a derived value, derived base on the content, employing one of a number of known derivation techniques and/or functions, such as hashing.

Thereafter, the modules **102** may await rendering request for a protected

20 digital content, e.g. from an application, block **304**. The request may be made via any one of a number of inter-program or inter-process communication protocols. The requesting application or process may be executing in the same computing environment or in a remote separate computing environment. Upon so requested, for the embodiment, root module **102** may request recovery module **104** to recover the

25 protected digital content, which as described earlier, may be advantageously performed in an obfuscated manner, block **306**, thereby continuing the protection

accorded to the digital content. Similarly, the inter-module request may be made via any one of a number of known inter-program/process communication protocols.

Upon receipt of the recovered digital contents, root module may determine the media and content format types involved, in particular the various device and/or

5   support services required to render the digital contents, block **308**. From there, the required subset of modules **102** may cooperate to render the recovered digital content.

For the embodiment, each employed module **102** may re-verify each downstream module **102** to ensure its integrity remains un-compromised, before

10   transferring the recovered digital contents to the immediate downstream module, thereby continuing to protect the digital contents.

In one embodiment, a "common" separate verification library module (not shown) that continually verifies the modules **102** in a cyclical pattern may be employed. The period of the cyclical pattern may be implementation dependent,

15   depending on the level of protection desired. The level of protection to be accorded may be made dependent on the media type and/or content format. The separate verification module maintains an integrity status table, against which the modules **102** may check to re-determine whether a particular downstream module of interest remains un-comprised prior to each transfer of a digital content to the downstream

20   module. In alternate embodiments, the maintained status may be made available to modules **102** via other "query and answer" techniques. In one embodiment, this separate verification module may also perform the verification of a rendering module by checking the signature of the rendering module. Further, in one embodiment, this separate verification module may run in a background mode of computing

25   environment **100**.

If the rendering is successfully completed, the process may return to block

304, and waits for another rendering request. In alternate embodiment, as opposed

to servicing one rendering request at a time, the present invention may also be

practiced having multiple rendering performed concurrently, e.g. by having multiple

5   execution threads of the applicable rendering modules executing at the same time.

In one embodiment, if any rendering fails to complete successfully, the process

may enter an exception handling state, block **312**. In one embodiment, if entry into

the exception handling state is due to a verification failure, the event may cause an

automatic re-installation of the "suspicious" module or modules **102**. In one

10   embodiment, the re-installation may be accomplished by re-downloading a known un-

compromised version of the "suspicious" or compromised module from a "trusted"

server, e.g. a distribution server of the rendering module.

Root Module

15   **Figures 4a-4b** illustrate the operational flow of the relevant aspect of the root

module in further details, in accordance with one embodiment. As illustrated, at

initialization, the root module may select an immediate downstream module, block

402, and verifies its integrity. In one embodiment, as described earlier, the

verification may be accomplished by verifying the immediate downstream module's

20   signature, block **404**.

If the verification fails, no branch, block **406**, the process may enter an

exception processing state, block **408**, which as described earlier, in one

embodiment, may cause the re-installation of the failed module. If the verification is

successful, yes branch, block **406**, the root module may determine if there are more

25   immediate downstream modules to verify, block **410**. If so, the process may return to

block **402**, and continue from there as earlier described, until eventually all immediate downstream modules have been verified successfully.

At such time, the root module may wait for a rendering request, block **412**. Upon receipt of such a request, assuming the digital content to be rendered is

5   provided/maintained in a protected state, e.g. ciphered, the root module may request recovery module **104** to recover the digital contents to be rendered, block **414**. Upon making the request, the root module may wait for the digital contents, block **416**.

Upon provided with the recovered digital contents, the root module may perform processing that are its responsibility (also referred as "local" processing),

10   such as memory allocation request, working data structure creation and initialization, and so forth, block **418**. The exact nature of the local processing performed, may be application and media type as well as format dependent, and is not relevant to the practice of the invention. In the course of performing local processing, when a need arises to enlist the assistance of one or more of the downstream modules in the

15   rendering of the digital contents, the root module may first re-verify the appropriate immediate downstream module, block **420**. If the re-verification is unsuccessful, no branch, block **422**, the process may enter the earlier described exception processing state. If the re-verification is successful, yes branch, block **422**, root module **102** may transfer the digital contents to the re-verified immediate downstream module and

20   request the needed auxiliary processing to be performed, block **424**. In one embodiment, the re-verification may be performed, by checking the earlier described integrity status table.

For the embodiment, processing may be transferred back to the root module, block **426**. At such time, root module **102** may determine if all required processing

25   have been completed, block **428**. If not, the process continues at block **418** as earlier described. If all required processing have been completed, for the embodiment, the

root module may return at least a processing complete notification to the requestor process requested the rendering of the digital contents, block **430**.

Non-Root Module

5   **Figures 5a-5b** illustrate the operational flow of the relevant aspect of a non-root module in further details, in accordance with one embodiment. As illustrated, at initialization, the non-root module may determine if it has any immediate downstream module, block **501**. If the non-root module has no immediate downstream module, the process may proceed immediately to block **512**, where the non-root module may

10   wait for a request for its service. If the non-root module has at least an immediate downstream module, the non-root module may select one of the immediate downstream modules, block **502**, and verify its integrity. In one embodiment, as described earlier, the verification may be accomplished by verifying the immediate downstream module's signature, block **504**.

15   If the verification fails, no branch, block **506**, the process may enter an exception processing state, block **508**, which as described earlier, in one embodiment, may cause the re-installation of the failed module. If the verification is successful, yes branch, block **506**, the non-root module may determine if there are more immediate downstream modules to verify, block **510**. If so, the process may

20   return to block **502**, and continue from there as earlier described, until eventually all immediate downstream modules have been verified successfully.

At such time, the non-root module may wait for a request for its auxiliary service, block **512**. Upon receipt of such a request, the non-root module may perform "local" processing (i.e. the portion of the requested service that it is responsible),

25   block **514**. Similar to the root module, the exact nature of the local processing performed, may be application and media type as well as format dependent, and is

not relevant to the practice of the invention. In the course of performing local

processing, when a need arises to enlist the assistance of one or more of the

downstream modules in the rendering of the digital contents, the non-root module

may re-verify the appropriate immediate downstream module, block **516**. If the re-

5   verification is unsuccessful, no branch, block **518**, the process may enter the earlier

described exception processing state. If the re-verification is successful, yes branch,

block **518**, the non-root module may transfer the digital contents to the re-verified

immediate downstream module and request the needed auxiliary processing to be

performed, block **520**. In one embodiment, as the root module, the re-verification

10   may be performed, by checking the earlier described integrity status table.

Similar to the root module, for the embodiment, processing may be transferred

back to the non-root module, block **522**. At such time, the non-root module may

determine if all required processing have been completed, block **524**. If not, the

process may continue at block **516** as earlier described. If all required processing

15   have been completed, for the embodiment, the non-root module may return at least a

processing complete notification to the upstream module requested the auxiliary

service, block **526**.

Recovery Module

20   **Figure 6** illustrates the operational flow of the relevant aspects of recovery

module **104** in further details, in accordance with one embodiment. As illustrated,

upon invocation, recovery module **104** may wait for a recovery request, block **602**.

Upon receipt of a request, recovery module **104** may verify the root module, block

604. In one embodiment, the verification may be accomplished by verifying the root

25   module's signature. If the verification fails, no branch, block **606**, the process may

enter an exception processing state, block **608**, which as described earlier, in one

embodiment, may cause the re-installation of the failed root module. If the verification is successful, recovery module **104** may proceed to recover the protected digital content as requested, block **610**. The exact nature of the recovery operation may be dependent on the protection employed, and potentially the media type as well

5 as the content format. However, the exact nature of the processing performed to recover the digital contents is not relevant to the practice of the present invention. In one embodiment, as alluded earlier, tamper resistant measures may be applied to recovery module **104**, such that the recovery operation may be performed in an obfuscated manner, thereby continuing the protection accorded to the digital

10 contents.

Upon recovery of the protected digital content, the recovered digital content is returned to the requesting root module, block **612**.

Extension into Third Party Application Domains

15 The hierarchical authentication scheme of the present invention may also be extended to provide secure and authenticated communication channels across application domains to facilitate secure digital content rendering. **Figure 7** illustrates another example hierarchy including modules of multiple application domains, where the secure and trusted communication may be extended from the base application

20 domain **702** to other third party application domains **712** and **714**. As illustrated, modules **702-706** of the base application domain **702** may be equipped with the earlier described teachings of the present invention to authenticate the downstream modules including modules **714-716** of the middleware application domain. Similarly, modules **714-716** of the middleware application domain **712** may be equipped with

25 the earlier described teachings of the present invention to authenticate the

downstream module **724** of the middleware device level interface or physical

transport **722**.

     Thus, the secure and authenticated communication of the base application

domain **702** may be extended to include communications in third party application

5   domains **712** and **722**. An example of the base application domain **702** may be the

domain of Real Player, a digital content rendering application available from Real

Network of Seattle, WA, and the middleware application may be the check-in and

check-out logic of a portable digital content player, such as a MP3 player.

10   <u>Example Computer System</u>

     Figure 8 illustrates an example computer system suitable for use to practice

the present invention in accordance with one embodiment. As shown, computer

system **800** includes one or more processors **802** and system memory **804**.

Additionally, computer system **800** may include mass storage devices **806** (such as

15   diskette, hard drive, DVDROM, RAM, CDROM and so forth), general purpose

input/output interface **808** (for interfacing input/output devices such as keyboard,

cursor control and so forth) and communication interfaces **810** (such as network

interface cards, modems and so forth). The elements are coupled with each other

via system bus **812**, which represents one or more buses. In the case of multiple

20   buses, they are bridged by one or more bus bridges (not shown). Each of these

elements performs its conventional functions known in the art. In particular, storage

units, i.e. system memory **804** and mass storage **806**, are employed to store a

working copy and a permanent copy of the programming instructions implementing

the earlier described digital content recovery module and the rendering modules

25   incorporated with the teachings of the present invention. The permanent copy of the

programming instructions may be loaded into mass storage **806** in the factory, or in

the field, through a distribution medium, such as computer readable medium having recordable medium, including but not limited to magnetic, optical, and other medium of the like (not shown) or through communication interface **810** (from a distribution server (not shown)). The constitution of these elements **802-812** are known, and

5   accordingly will not be further described.

Epilog

Thus, it can be seen from the above description, an improved method and apparatus for securely rendering protected digital content, in a less burdensome manner, and yet sufficiently robust, as well as scalable/extensible to support a

10   significant number of media types and content formats has been described. While the present invention has been described in terms of the above illustrated embodiments, those skilled in the art will recognize that the invention is not limited to the embodiments described. The present invention can be practiced with

15   modification and alteration within the spirit and scope of the appended claims. Thus, the description is to be regarded as illustrative instead of restrictive on the present invention.

20